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In the United States Patent and Trademark Office

Mailed 4/27/2001

Box Patent Application Assistant Commissioner for Patents  
Washington, DC 20231

1000 U.S. PTO  
09/844972  
04/27/01

Sir,

Please file the following enclosed patent application papers:

Applicant Name: Brian L. Whitworth

Title: A METHOD OF CREATING NEW SECURITIES FROM EQUITIES:  
SEPARATELY TRADABLE REGISTERED INDEPENDENT DIVIDEND AND EQUITY  
SECURITIES ("STRIDES")

☐ Specification, Claims, and Abstract: Number of Sheets: 19

☐ Declaration: Date Signed: 4/27/2001

☐ Drawing(s), Number of Sheets Enclosed: Formal: 5 Informal: \_\_\_\_\_

☐ Assignment enclosed with cover sheet and recordal fee; please record and return

☐ Check for \$ 601 for:

☐ \$ 601 for filing fee for 6 independent and 34 total claims.

☐ \$ \_\_\_\_\_ additional if Assignment is enclosed for recordal.

☐ Disclosure Document Program reference letter.

☐ Pursuant to 35 USC §11 9(e)(i), applicant(s) claim priority of attached Provisional Patent  
Application, filed 04/28/2000.

☐ Return Receipt Postcard Addressed to Applicant.

Very respectfully,

Applicant Signature [Signature]

Address (Send Correspondence Here) 3003 Sequit Dr.

City, State, Zip Malibu CA 90265

Express Mail label # \_\_\_\_\_;

Date of Deposit 4/27/2001

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